APPENDIX J Summary of FY 2001 Environmental Quality Fines and Penalties Assessed and Paid

This appendix meets the requirements for fines and penalties analysis under Public Law 106-65 Department of Defense Authorization Act for FY 2000. The analysis is presented using data from FY 2001 and the six preceding fiscal years, where this full data set is available. Not all of the required data covers this time period and hence some of the analysis only covers FY 1997 through FY 2001.

This appendix includes an analysis of fines assessed and paid by DoD and the Components. The analysis of fines paid shows the amount paid in cash in current year dollars and the amounts invested in Supplemental Environmental Projects (SEPs) against the originally assessed fine. (Completion of SEPs in lieu of fines is typically more costly than cash payments.) A fine assessed in one fiscal year may not necessarily be paid until a later fiscal year (the amounts assessed and paid are shown in current year dollars). In addition, the analysis includes a breakdown of fines by statute and indicates the origin of the fines (i.e., Federal, state, or local regulatory authority).

DoD Component

Fines Assessed in Fiscal Year 2001

Amount Assessed

	EPA	
Army		\$ 798,746
Navy		\$ 151,000
Marine Corps		\$ 20,893
Air Force		\$ 135,717
DLA		\$ -
EPA Total		\$ 1,106,356

	STATE	
Army		\$ 370,601
Navy		\$ 61,400
Marine Corps		\$ -
Air Force		\$ 61,794
DLA		\$ 19,387
State Total		\$ 513,182

LOCAL	
Army	\$ -
Navy	\$ 18,100
Marine Corps	\$ -
Air Force	\$ 1,050
DLA	\$ -
Local Total	\$ 19,150

DoD Component

Fines Assessed in Fiscal Year 2001

Amount Assessed

DoD COMPONENT TOTAL

Army	\$ 1,169,347
Navy	\$ 230,500
Marine Corps	\$ 20,893
Air Force	\$ 198,561
DLA	\$ 19,387
Grand Total	\$ 1,638,688

Army	Fines Assessed in Fiscal Year 2001					
Installations by Federal, State, and Local	State	Authority	Issuing Agency	Date Assessed	-	Amount ssessed
		EPA				
Seneca Army Depot*	NY	RCRA-C	II	09/28/01	\$	51,812
Fort Shafter*	HI	CAA	IX	09/28/01	\$	184,250
Letterkenny Army Depot	PA	RCRA-C		10/01/00	\$	162,030
Fort Jackson**	SC	CAA	IV	04/11/01	\$	400,654
EPA Subtotal					\$	798,746
		OTATE				
Fort Missourie	MT	STATE	Montono	10/01/00	¢	0.004
Fort Missoula	MT	SDWA	Montana	10/01/00	\$	2,324
Fort Lewis Pine Bluff Arsenal	WA	CAA RCRA-C	Washington	10/13/00	\$	1,000
	AR		Arkansas	11/06/00	\$	9,000
Fort Sam Houston	TX	CWA	Texas	01/22/01	\$	4,000
Umatilla Chemical Disposal Facility	OR	RCRA-C	Oregon	01/24/01	\$	3,000
Fort Knox	KY	CWA	Kentucky	02/28/01	\$	13,200
Holland DMPS (U.S. Army Corps of Engineers)	MI	CWA	Michigan	03/13/01	\$	92,000
Fort Bragg	NC	CAA	North Carolina	04/11/01	\$	17,711
Picatinny Arsenal	NJ	CAA	New Jersey	04/19/01	\$	1,000
Fort Shafter	HI	RCRA-I	Hawaii	05/08/01	\$	600
Tooele Chemical Agent Disposal Facility	UT	CAA	Utah	05/17/01	\$	1,500
Picatinny Arsenal	NJ	CAA	New Jersey	07/03/01	\$	67,500
Tooele Army Depot	UT	RCRA-C	Utah	07/05/01	\$	26,720
Fort Leonard Wood***	MO	CAA	Missouri	08/10/01	\$	44,046
Camp Santiago	PR	RCRA	Puerto Rico	08/27/01	\$	12,000
U.S. Military Academy	NY	CWA	New York	09/18/01	\$	75,000
State Subtotal					\$	370,601

Army		Fines Assessed in Fiscal Year 2001						
Installations by Federal, State, and Local	State	Authority	lssuing Agency	Date Assessed	Amount Assessed			
		LOCAL						
None					\$-			
Local Subtotal					\$-			
Army Total					\$ 1,169,347			

* This fine was assessed in FY 2000 but was not reported. Therefore, the fine amount is not included in FY 2001 totals. ** SC originally issued the fine for \$5,000 in FY 1998. Case was reopened by EPA and the fine was reassessed.

*** The fine assessed was an administrative fee.

Navy	Fines Assessed in Fiscal Year 2001						Fines Assessed in Fiscal Year 2001			
Installations by Federal, State, and Local	State	Authority	lssuing Agency	Date Assessed		Amount ssessed				
		EPA								
Naval Air Station Jacksonville	FL	RCRA-C	IV	11/02/00	\$	150,000				
COMNAVBASE Pearl Harbor	HI	RCRA-I	IX	11/02/00	\$	100				
COMNAVBASE Pearl Harbor	HI	RCRA-I	IX	11/08/00	\$	900				
EPA Subtotal					\$	151,000				

STATE							
PACMISRANFAC Barking Sands	HI	RCRA-C	Hawaii	11/02/00	\$	40,100	
COMNAVBASE Pearl Harbor	HI	RCRA-I	Hawaii	11/17/00	\$	300	
NAVHOSP Portsmouth	VA	Medical Waste	Virginia	01/30/01	\$	15,000	
National Naval Medical Center Bethesda	MD	CWA	Maryland	04/18/01	\$	5,000	
Weapons Station Colts Neck	NJ	CAA	New Jersey	05/09/01	\$	1,000	
State Subtotal					\$	61,400	

		LOCAL		
SWNAVFACENGCOM San Diego	CA	CAA	10/05/00	\$ 2,500
NAS LeMoore	CA	CAA	12/08/00	\$ 600
PWC San Deigo	CA	CAA	12/15/00	\$ 500
PWC San Deigo	CA	CAA	12/15/00	\$ 1,000
PWC San Deigo	CA	CAA	12/15/00	\$ 1,000
NAS North Island	CA	CAA	12/15/00	\$ 500
NAS North Island	CA	CAA	12/15/00	\$ 1,000
Commander Mid-Atlantic Region Norfolk	VA	CWA	06/03/01	\$ 1,000
Naval Region Mid-Atlantic Norfolk	VA	CWA	07/31/01	\$ 1,000
Naval Region Mid-Atlantic Norfolk	VA	CWA	07/31/01	\$ 1,000
Naval Region Mid-Atlantic Norfolk	VA	CWA	07/31/01	\$ 1,000
Naval Region Mid-Atlantic Norfolk	VA	CWA	07/31/01	\$ 1,000

Navy		Fines Assessed in Fiscal Year 2001					
Installations by Federal, State, and Local	State	Authority	lssuing Agency	Date Assessed			
Naval Region Mid-Atlantic Norfolk	VA	CWA		08/30/01	\$	4,000	
Commander Mid-Atlantic Region Norfolk	VA	CWA		08/31/01	\$	1,000	
Naval Air Weapons Station China Lake	CA	CAA		09/06/01	\$	1,000	
Local Subtotal					\$	18,100	
				÷	-		
Navy Total					\$	230,500	

Marine Corps	Year 2001				
Installations by Federal, State, and Local	State	Authority	lssuing Agency	Date Assessed	Amount Assessed
		EPA			
MCAS Cherry Point	NC	RCRA-I	IV	09/17/01	\$ 20,893
EPA Subtotal					\$ 20,893
		STATE			
None					\$-
State Subtotal					\$-
				•	
		LOCAL			
None					\$-
Local Subtotal					\$ -

Marine Corps Total \$ 20,				
	Marine Corps Total			\$ 20,893

Air Force		Fines Ass	sessed in Fiscal	Year 2001	
Installations by Federal, State, and Local	State	Authority	lssuing Agency	Date Assessed	Amount Assessed
		EPA			
Eielson AFB*	AK	CAA	Х	09/14/00	\$ 498,84
Hickman AFB	HI	RCRA-I	IX	11/03/00	\$ 1,25
Kaena Point	HI	RCRA-I	IX	05/04/01	\$ 30
Eglin AFB	FL	RCRA-D	IV	07/31/01	\$ 111,50
Arnold AFB	TN	RCRA-C	IV	09/26/01	\$ 22,65
EPA Subtotal					\$ 135,71

		STATE			
Dare County Range	NC	CWA	North Carolina	10/30/00	\$ 1,000
Portland	OR	RCRA-C	Oregon	02/28/01	\$ 1,000
Lackland AFB	ТΧ	CAA	Texas	04/11/01	\$ 2,820
Dover AFB	DE	RCRA-C	Delaware	04/11/01	\$ 50,000
Bellows AFB	HI	RCRA-I	Hawaii	05/04/01	\$ 600
Canon AFB	NM	CAA	New Mexico	06/14/01	\$ 2,025
Avon Park	FL	RCRA-C	Florida	08/02/01	\$ 4,349
State Subtotal					\$ 61,794

		LOCAL			
Edwards AFB	CA	CAA	01/19/00	\$	600
Moffet Field	CA	CAA	02/28/01	\$	250
Portland	OR	CWA	05/18/01	\$	100
Portland	ME	CWA	08/28/01	\$	100
Local Subtotal				\$	1,050
	•	•		• • •	

	Air Force Total					\$	198,561
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* This fine was assessed in FY 2000 but was not reported. Therefore, the fine amount is not included in FY 2001 totals.

Defense Logistics Agency		Fines As	sessed in Fiscal	Year 2001	
Installations by Federal,	State	Authority	lssuing	Date	Amount
State, and Local	Sidle	Authority	Agency	Assessed	Assessed

	EP	A		
None				\$
EPA Subtotal				\$

	ST/	ATE			
Defense Supply Center Richmond	VA	RCRA-C	Virginia	04/16/01	\$ 16,000
Defense Reutilization and Marketing Office Mechanicsburg	PA	RCRA-C	Pennsylvania	04/30/01	\$ 3,000
Defense Depot Susquehanna	PA	RCRA-D	Pennsylvania	09/27/01	\$ 387
State Subtotal					\$ 19,387

	LOC	AL		
None				\$-
Local Subtotal				\$-

	DLA Total					\$	19,387
--	-----------	--	--	--	--	----	--------

DoD Component		Fines Paid in	Fiscal Year 2001	
			Amount Paid	
	Amount Assessed	Cash	SEPs	Total
		EPA		
Army	\$ 1,380,020		0 \$ 1,250,586	\$ 1,881,056
Navy	\$ 1,000	\$ 1,00		\$ 1,000
Marine Corps	\$ 39,600	\$ 1,65		\$ 1,650
Air Force	\$ 668,127	\$ 191,69		
DLA	\$ -	\$	- \$ -	\$ -
EPA Total	\$ 2,088,747	\$ 824,81	-	Ť
		1 ·		
		STATE		
Army	\$ 522,141	\$ 314,33	3 \$ 140,000	\$ 454,333
Navy	\$ 55,100	\$ 49,10	0 \$ -	\$ 49,100
Marine Corps	\$ 16,250	\$ 16,25	0 \$ -	\$ 16,250
Air Force	\$ -	\$	- \$ -	\$ -
DLA	\$ 16,000	\$ 16,00		\$ 16,000
State Total	\$ 609,491	\$ 395,68	3 \$ 140,000	\$ 535,683
		LOCAL		
Army	\$ -	\$	- \$ -	
Navy	\$ -	\$	- \$ -	\$
Marine Corps	\$ -	\$	- \$ -	\$
Air Force	\$ 200	\$ 20		\$ 200
DLA	\$ -	\$	- \$ -	\$
Local Total	\$ 200	\$ 20	0 \$ -	\$ 200

DoD Component		Fines Paid in F	iscal Year 2001	
	Amount Accessed		Amount Paid	
	Amount Assessed	Cash	SEPs	Total

DoD COMPONENT TOTALS

Grand Total	\$ 2,698,438	\$ 1,220,693	\$ 1,540,586	\$ 2,761,279
DLA	\$ 16,000	\$ 16,000	\$ -	\$ 16,000
Air Force	\$ 668,327	\$ 191,890	\$ 150,000	\$ 341,890
Marine Corps	\$ 55,850	\$ 17,900	\$ -	\$ 17,900
Navy	\$ 56,100	\$ 50,100	\$ -	\$ 50,100
Army	\$ 1,902,161	\$ 944,803	\$ 1,390,586	\$ 2,335,389

Army				F	ine	es Paid in Fi	scal Year	200	01				
Installation by Federal,	State	Authority	Issuing	Date		Amount	Date			A	Amount Paid		
State, and Local	State	Authority	Agency	Assessed		Assessed	Resolved		Cash		SEPs		Total
	<u> </u>			EF	-	400.054	4.0.40.0.40.0	^		^		^	0.0 545
Walter Reed Army Medical Center	DC	RCRA-I		06/30/98	\$	109,851	10/20/00	\$	86,715	\$	-	\$	86,715
Fort Drum	NY	RCRA-I		07/01/99	\$	259,960	11/16/00	\$	135,000	\$	-	\$	135,000
Pine Bluff Arsenal	AR	CAA	VI	08/28/00	\$	48,000	02/06/01	\$	21,000	\$	-	\$	21,000
Fort Campbell	KY	RCRA-C	IV	08/14/00	\$	37,125	03/06/01	\$	10,334	\$	-	\$	10,334
Letterkenny Army Depot	PA	RCRA-C		10/01/00	\$	162,030	03/30/01	\$	4,921	\$	94,586	\$	99,507
Fort Lewis	WA	RCRA-I	Х	09/18/00	\$	450,554	05/16/01	\$	60,000	\$	200,000	\$	260,000
Fort Bragg	NC	SDWA	IV	03/14/00	\$	312,500	06/05/01	\$	312,500	\$	956,000	\$	1,268,500
EPA Subtotal					\$	1,380,020		\$	630,470	\$	1,250,586	\$	1,881,056
				STA	TE								
Fort Benning	GA	SDWA	GA	06/15/00	\$	9,000	10/01/00	\$	8,000	\$		\$	8,000
Tooele Chemical Agent Disposal	GA	SDWA	GA	00/15/00	φ	9,000		\$ \$	10,075	\$ \$	-	գ \$	10,075
Facility*	UT	RCRA-C	UT	12/30/99	\$	68,445	10/30/00	φ \$	56,898	\$		φ \$	56,898
Fort Sam Houston	ТХ	RCRA-C	ТХ	11/30/99	\$	7,975	10/30/00	\$	7,975	\$	_	\$	7,975
Fort Bragg	NC	RCRA-C	NC	12/01/99	\$	107,500	11/08/00	\$	57,497	\$	-	\$	57,497
Military Ocean Terminal, Bayonne	NJ	CAA	NJ	03/05/99	\$	56,000	11/09/00	\$	-	\$	-	\$	-
Fort Missoula	MT	SDWA	MT	10/01/00	\$	2,324	11/22/00	\$	1,900	\$	-	\$	1,900
Aberdeen Proving Ground	MD	RCRA-C	MD	05/30/00	\$	1,000	11/27/00	\$	1,000	\$	-	\$	1,000
Pine Bluff Arsenal	AR	RCRA-C	AR	11/06/00	\$	9,000	11/29/02	\$	4,500	\$	-	\$	4,500
Fort Carson	CO	RCRA-C	CO	08/09/00	\$	59,363	12/14/00	\$	17,000	\$	40,000	\$	57,000
Indiana Army Ammunition Plant	IN	RCRA-C	IN	08/18/00	\$	22,500	01/16/01	\$	22,500	\$	-	\$	22,500
Lake City Army Ammunition Plant	МО	RCRA-C	МО	11/24/99	\$	29,400	02/04/01	\$	14,700	\$	-	\$	14,700
Umatilla Chemical Disposal Facility	OR	RCRA-C	OR	01/24/01	\$	3,000	02/07/01	\$	3,000	\$	-	\$	3,000
Tooele Army Depot	UT	RCRA-C	UT	04/13/00	\$	145,134	05/30/01	\$	63,741	\$	100,000	\$	163,741

Army				F	ines	Paid in Fi	scal Year	200)1				
Installation by Federal,	State	Authority	Issuing	Date		Amount	Date			4	Amount Paid	I	
State, and Local			Agency	Assessed	A	ssessed	Resolved		Cash		SEPs		Total
Tooele Chemical Agent Disposal Facility**	UT	CAA	UT	05/17/01	\$	1,500	07/13/01	\$	1,500	\$	-	\$	1,500
Fort Leonard Wood***	MO	CAA	MO	08/10/00	\$	_	08/13/01	\$	44,047	\$	-	\$	44,047
State Subtotal					\$	522,141		\$	314,333	\$	140,000	\$	454,333

		LOC	AL				
None			\$	-	\$-	\$-	\$-
Local Subtotal			\$	-	\$-	\$-	\$-

Army Total \$ 1,902,161 \$ 944,803 \$ 1,390,586 \$ 2,335,38

* \$56,898 paid by contractor.
** \$1,500 paid by contractor.
*** Amount paid in cash was administrative fee.

Navy				Fines	Pai	id in Fisca	al Year 200)1					
Installation by Federal,	State	Authority	Issuing	Date		Amount	Date				ount Paid		
State, and Local		, , , , , , , , , , , , , , , , , , ,	Agency	Assessed	Α	ssessed	Resolved		Cash		SEPs		Total
				EPA									
COMNAVBASE Pearl Harbor	HI	RCRA-I	IX	EPA 11/02/00	\$	100	12/22/00	\$	100	\$		\$	100
COMNAVBASE Pearl Harbor	HI	RCRA-I	IX	11/02/00	Գ \$	900	12/22/00	φ \$	900	φ \$	-	φ \$	900
EPA Subtotal	111	ROIVEI		11/00/00	\$	1,000	12/22/00	\$	1,000	\$	_	\$	1,000
					Ψ	1,000		Ψ	1,000	Ψ		Ψ	1,000
				STATE									
NAVHOSP Portsmouth	VA	Medical Waste	VA	1/30/2001	\$	15,000	11/30/2001	\$	15,000	\$	-	\$	15,000
PACMISRANFAC Barking Sands	HI	RCRA-C	HI	11/2/2000	\$	40,100	6/18/2001	\$	34,100	\$	-	\$	34,100
State Subtotal					\$	55,100		\$	49,100	\$	-	\$	49,100
	<u> </u>			LOCAL									
None					\$	-		\$	-	\$	-	\$	
Local Subtotal					\$	-		\$	-	\$	-	\$	-
					•							•	
Navy Total					\$	56,100		\$	50,100	\$	-	\$	50,100

Marine Corps				Fines	Paic	d in Fisc	al Year 200)1					
Installation by Federal,	State	Authority	Issuing	Date	A	Amount	Date			Am	ount Paic	1	
State, and Local	State	Authonity	Agency	Assessed	As	ssessed	Resolved		Cash		SEPs		Total
				EPA									
MCB Quanitco	VA	CAA		9/30/1999	\$	39,600	3/1/2001	\$	1,650	\$	-	\$	1,650
EPA Subtotal					\$	39,600		\$	1,650	\$	-	\$	1,650
				STATE									
MCAS Beaufort	SC	RCRA-C	SC	9/15/2000	\$	16,250	11/3/2000	\$	16,250	\$	-	\$	16,250
State Subtotal					\$	16,250		\$	16,250	\$	-	\$	16,250
				LOCAL									
None					\$	-		\$	-	\$	-	\$	-
Local Subtotal					\$	-		\$	-	\$	-	\$	-

Marines Total			\$-	\$	\$ - \$	-

Air Force				Fin	es F	Paid in Fis	scal Year 2	00	1			
Installation by Federal,	State	Authority	Issuing	Date		Amount	Date			An	nount Paid	
State, and Local	State	Authonity	Agency	Assessed	Α	ssessed	Resolved		Cash		SEPs	Total
				EPA	1							
Tinker AFB	OK	RCRA-I	VI	01/13/98	\$	96,703	11/07/00	\$	51,500	\$	-	\$ 51,500
Barksdale AFB	LA	RCRA-I	VI	01/13/98	\$	70,734	12/07/00	\$	38,340	\$	-	\$ 38,340
Anderson AFB	GU	RCRA-I	IX	11/15/99	\$	300	12/19/00	\$	300	\$	-	\$ 300
Hickam AFB	HI	RCRA-I	IX	11/03/00	\$	1,250	12/22/00	\$	1,250	\$	-	\$ 1,250
Eielson AFB	AK	CAA	Х	09/14/00	\$	498,840	04/26/01	\$	100,000	\$	150,000	\$ 250,000
Kaena Point	HI	RCRA-I	IX	05/04/01	\$	300	05/09/01	\$	300	\$	-	\$ 300
EPA Subtotal					\$	668,127		\$	191,690	\$	150,000	\$ 341,690
				STATI	Ξ							
None					\$	-		\$	-	\$	-	\$ -
State Subtotal					\$	-		\$	-	\$	-	\$ -

			LOCA	L					
Portland*	OR	CWA	05/18/01	\$	100	06/18/01	\$ 100	\$ -	\$ 100
Portland*	ME	CWA	08/28/01	\$	100	08/30/01	\$ 100	\$ -	\$ 100
Local Subtotal				\$	200		\$ 200	\$ -	\$ 200

Air Force Total	\$ 668,327	\$ 191,890	\$ 150,000	\$ 341,890

* Paid with state funds.

Defense Logistics Agency				Fines F	Paid in Fisc	cal Year 20	01		
Installation by Federal,	State	Authority	lssuing	Date	Amount	Date		Amount Paic	ł
State and Local	State	Authonity	Agency	Assessed	Assessed	Resolved	Cash	SEPs	Total

		E	PA				
None				\$-	\$-	\$-	\$-
EPA Subtotal				\$-	\$-	\$-	\$-

			ST	ATE					
Defense Supply Center Richmond	VA	RCRA-C	VA	04/16/01	\$ 16,000	08/31/01	\$ 16,000	\$ -	\$ 16,000
State Subtotal					\$ 16,000		\$ 16,000	\$ -	\$ 16,000

LOCAL												
None					\$-		\$-	\$-	\$-			
Local Subtotal					\$-		\$-	\$-	\$-			
DLA Total					\$ 16,000		\$ 16,000	\$-	\$ 16,000			

Figure 1 Fines Assessed DoD Component Totals FY 1995 to FY 2001



Figure 2 Fines Assessed by Statute DoD-Wide FY 1995 to FY 2001









Figure 4 Number of Fines Assessed by Size

Figure 5 Fines Paid (Cash and SEPs**) DoD Components FY 1997 to FY 2001



Figure 6 Fines Paid (Cash and SEPs**) by Statute DoD-Wide FY 1997 to FY 2001



Figure 7 Number of Fines Paid (Cash and SEPs**) by Size DoD-Wide FY 1997 to FY 2001







Figure 9 Fines Assessed by Issuing Agency Army FY 1995 to FY 2001













Figure 12 Fines Paid (Cash and SEPs**)





Figure 14 Fines Assessed by Issuing Agency Navy FY 1995 to FY 2001









Figure 16 Fines Paid (Cash and SEPs**)





Figure 18
Figure 19 Fines Assessed by Issuing Agency Marine Corps FY 1995 to FY 2001









Figure 21 Fines Paid (Cash and SEPs**)

Figure 22 Fines Assessed by Issuing Agency Air Force FY 1995 to FY 2001









Figure 25 Fines Paid (Cash and SEPs**)

Figure 26 Fines Assessed by Issuing Agency Defense Logistics Agency FY 1995 to FY 2001







